



111 Congress Avenue, Suite 1700 Austin, Texas 78701 512.320.9200 Phone 512.320.9292 Fax andrewskurth.com

Nathan H. Calvert 512/320.9291 Direct Nathancalvert@andrewskurth.com

January 13, 2005

### VIA EXPRESS MAIL NO. EV343331334US

MAIL STOP - AMENDMENT Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

RE:

Application No.:

10/709,732

Filing Date:

May 25, 2004

Title:

Memory Expansion and Chip Scale Stacking System and

and Method

Docket No.:

254-094-CIP4-CMEM

AK Matter No.:

153715

#### Dear Commissioner:

Please find enclosed for filing the following:

- Information Disclosure Statement (2 pages); 1.
- Forms PTO/SB/08 (9 sheets) (with duplicate copy); 3.
- 16 references; and 4.
- a return postcard for confirmation of receipt. 5.

The Commissioner is hereby authorized to charge any fees which may be required to Deposit Account 50-0897. Please return the postcard confirming your receipt of the enclosed materials.

Nathan H. Calvert

NHC/at **Enclosures** 



Attorney Docket No.: 254-094-CIP4-CMEM

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Title:

Memory Expansion and Chip Scale Stacking System and Method

Application No.:

10/709,732

Filing Date:

May 25, 2004

Inventor:

Russell Rapport, et al.

Assignee:

Staktek Group L.P.

Examiner:

**TBA** 

Art Unit:

2811

#### INFORMATION DISCLOSURE STATEMENT

Mail Stop - Amendment Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Pursuant to the guidelines for Information Disclosure Statements, 37 C.F.R. §§ 1.97-1.98, attached hereto are Forms PTO/SB/08 (9 sheets) with references listed for consideration by the Office.

Pursuant to Official Gazette Notice No. 14, dated August 5, 2003, copies of the listed written U.S. references are not enclosed. However, in accordance with 37 C.F.R. § 1.98(a)(2), copies of the listed written foreign references and articles are being provided.

In accordance with 37 C.F.R. §§ 1.97(g)-(h), the filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made or an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b) or prior art under 35 U.S.C. §§ 102 or 103.

The examiner should also be aware of the co-pending applications in the same application family as the above-referenced application. The co-pending applications are: App. No.: 10/400,309 filed

3/27/03; App. No.: 10/136,890 filed 5/02/02; App. No.: 10/435,192 filed on 5/09/03; App. No.: 10/453,398 filed on 6/03/03; App. No.: 10/457,608 filed on 6/09/03; App. No.: 10/631,886 filed on 7/11/03; App. No.: 10/624,097 filed on 7/21/03; App. No.: 10/804,452 filed on 3/19/04; App. No.: 10/814,530 filed on 3/31/04; App. No.: 10/814,532 filed on 3/31/04; App. No.: 10/828,495 filed on 4/20/04; App. No.: 10/836,855 filed on 4/30/04; App. No.: 10/845,029 filed on 5/13/04; App. No.: 10/709,732 filed on 5/25/04; App. No.: 10/872,897 filed on 6/21/04; App. No.: 10/873,847 filed on 6/22/04; App. No.: 10/913,220 filed on 8/06/04; App. No.: 10/914,483 filed on 8/09/04; App. No.: 10/917,216 filed on 8/12/04; App. No.: 10/931,828 filed on 9/01/04; App. No.: 10/958,584 filed on 10/05/04; App. No.: 10/958,924 filed on 10/05/04; App. No.: 10/958,934 filed on 10/05/04; App. No.: 10/963,867 filed on 10/12/04; App. No.: 10/978,149 filed on 10/29/04; App. No.: 11/011,469 filed on 12/14/04.

This Information Disclosure Statement is being filed before receipt of the first Office action, so no fee is believed to be due.

The Commissioner is hereby authorized to charge any fees which may be required in connection with the filing, or to credit any overpayment to Deposit Account No. 50-0897, upon which account the undersigned has the authority to sign. A duplicate copy of Forms PTO/SB/08 are enclosed.

Respectfully submitted,

ANDREWS KURTH

By: Nathan H. Calvert

Patent Reg. No. 48,990

111 Congress Ave., Suite 1700

Austin, Texas 78701

(512) 320-9200 Telephone

(512) 320-9292 Facsimile

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

perwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

RADE Stitute for form 1449/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 9

Con	plete if Known
Application Number	10/709,732
Filing Date	May 25, 2004
First Named Inventor	Russell Rapport, et al.
Art Unit	2811
Examiner Name	TBA
Attorney Docket Number	254-094-CIP4-CMEM

			U.S. PATE	NT DOCUMENTS	
Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant
Initials*	No.1	Number-Kind Code <sup>2 (if known)</sup>	MM-DD-YYYY	Applicant of Cited Document	Figures Appear
		US-6,677,670 B2	01/13/2004	Kondo	
		US-6,660,561 B2	12/09/2003	Forthun	
		US-6,627,984 B2	09/30/2003	Bruce, et al.	
		US-6,620,651 B2	09/16/2003	He, et al.	
		US-6,600,222 B1	07/29/2003	Levardo	
		US-6,590,282 B1	07/08/2003	Wang, et al.	
		US-6,572,387 B2	06/03/2003	Burns, et al.	
		US-6,560,117 B2	05/06/2003	Moon	
		US-6,552,910 B1	04/22/2003	Moon, et al.	
		US-6,514,793 B2	02/04/2003	Isaak	
		US-6,486,544 B1	11/26/2002	Hashimoto	
		US-6,473,308 B2	10/29/2002	Forthun	
		US-6,465,893 B1	10/15/2002	Khandros, et al.	
		US-6,465,877 B1	10/15/2002	Farnworth, et al.	
		US-6,462,412 B2	10/08/2002	Kamei, et al.	
		US-6,446,158 B1	09/03/2002	Karabatsos	
		US-6,444,921 B1	09/03/2002	Wang, et al.	
	T	US-6,426,549 B1	07/30/2002	Isaak	
		US-6,426,240 B2	07/30/2002	Isaak	
		US-6,410,857 B1	06/25/2002	Gonya	

Examiner	Cite		Publication Date	Name of Patentee or Applicant of Cited	Pages, Columns, Lines, Where Relevant Passages	T'
Initials*	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)   MM-DD-YYYY	Document or Relevant Figures App				
		EP 461-639(A)	12/18/1991	Kitano, et al.		L
		JP 2003309246(A)	10/31/2003	Mitsuru		L
		JP 2003086761(A)	03/20/2003	Iwao, et al.		<u></u>
		JP 2003086760(A)	03/20/2003	Masataka, et al.		L
		JP 2003037246(A)	02/07/2003	Masami		L
	i	JP 2001332683(A)	11/30/2001	Toshiya		L

E	Date	
Examiner	Considered	1
Signature	Considered	

<sup>\*</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Complete if Known Substitute for form 1449/PTO 10/709,732 Application Number May 25, 2004 Filing Date INFORMATION DISCLOSURE First Named Inventor Russell Rapport, et al. STATEMENT BY APPLICANT 2811 Art Unit (Use as many sheets as necessary) **Examiner Name TBA Attorney Docket Number** 254-094-CIP4-CMEM Sheet

	U. S. PATENT DOCUMENTS						
Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant		
Initials*	No.1	Number-Kind Code <sup>2 (if known)</sup>	MM-DD-YYYY	Applicant of Cited Document	Figures Appear		
		US-6,392,162 B1	05/21/2002	Karabatsos			
		US-6,376,769 B1	04/23/2002	Chung			
		US-6,368,896 B2	04/09/2002	Farnworth, et al.			
		US-6,360,433 B1	03/26/2003	Ross			
		US-6,351,029 B1	02/26/2002	Isaak			
	i	US-6,323,060 B1	11/27/2001	Isaak			
		US-6,300,679 B1	10/09/2001	Mukerji, et al.			
		US-6,281,577 B1	08/28/2001	Oppermann, et al.			
		US-6,266,252 B1	07/24/2001	Karabatsos			
	1	US-6,265,660 B1	07/24/2001	Tandy			
		US-6,262,895 B1	07/17/2001	Forthun			
		US-6,262,476 B1	07/17/2001	Vidal			
		US-6,233,650 B1	05/15/2001	Johnson, et al.			
	T	US-6,225,688 B1	05/01/2001	Kim, et al.			
		US-6,222,737 B1	04/24/2001	Ross			
		US-6,208,521 B1	03/27/2001	Nakatsuka	· · · · · · · · · · · · · · · · · · ·		
		US-6,205,654 B1	03/27/2001	Burns			
		US-6,172,874 B1	01/09/2001	Bartilson			
		US-6,433,418	08/13/2002	Fujisawa, et al.			
		US-6,121,676	09/19/2000	Solberg			
	1	US-6,097,087	08/01/2000	Farnworth, et al.			

Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> –Number <sup>4</sup> –Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	ť
	JP 2001085592(A)	03/30/2001	Kenji		
	JP 2001077294(A)	03/23/2001	Katsumasa		

	_			
	Examiner	Date	į.	
1		Considered		
	Signature	Considered		

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

<sup>\*</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known Substitute for form 1449/PTO 10/709,732 **Application Number** May 25, 2004 Filing Date INFORMATION DISCLOSURE Russell Rapport, et al. First Named Inventor STATEMENT BY APPLICANT Art Unit 2811 (Use as many sheets as necessary) TBA **Examiner Name** 9 Attorney Docket Number 254-094-CIP4-CMEM of Sheet 3

		1200	U. S. PATE	NT DOCUMENTS	
Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant
Initials*	No.1	Number-Kind Code <sup>2 (if known)</sup>	MM-DD-YYYY	Applicant of Cited Document	Figures Appear
		US-6,072,233	06/06/2000	Corisis, et al.	
		US-6,028,365	02/22/2000	Akram, et al.	
		US-6,028,352	02/22/2000	Eide	
		US-6,025,642	02/15/2000	Burns	
		US-6,014,316	01/11/2000	Eide	
		US-5,953,215	09/14/1999	Karabatsos	
		US-5,949,657	09/07/1999	Karabatsos	
		US-5,926,369	07/20/1999	Ingraham, et al.	·
		US-5,917,709	06/29/1999	Johnson, et al.	
		US-5,869,353	02/09/1999	Levy, et al.	
		US-5,835,988	11/10/1998	Ishii	
		US-5,828,125	10/27/1998	Burns	
		US-5,805,422	09/08/1998	Otake, et al.	
		US-5,801,437	09/01/1998	Burns	
		US-5,778,552	07/14/1998	Burns	
-		US-5,776,797	07/07/1998	Nicewarner, Jr., et al.	
		US-5,654,877	08/05/1997	Burns	
		US-5,644,161	07/01/1997	Burns	
		US-5,642,055	06/24/1997	Difrancesco	
		US-5,631,193	05/20/1997	Burns	

Pages, Columns, Lines,	Name of Patentee or	Publication Date	Foreign Patent Document	Cite	Examiner Initials* Cite
Where Relevant Passages or Relevant Figures Appear	Applicant of Cited Document	MM-DD-YYYY	Country Code <sup>3</sup> –Number <sup>4</sup> –Kind Code <sup>5 (if known)</sup>		

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

<sup>\*</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \(^1\) Applicant's unique citation designation number (optional). \(^2\) See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. \(^3\) Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). \(^4\) For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. \(^5\) Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. \(^6\) Applicant is to place a check mark here if English language Translation is attached.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known Substitute for form 1449/PTO **Application Number** 10/709,732 May 25, 2004 Filing Date INFORMATION DISCLOSURE Russell Rapport, et al. First Named Inventor STATEMENT BY APPLICANT 2811 Art Unit (Use as many sheets as necessary) Examiner Name **TBA** 254-094-CIP4-CMEM

9

of

Sheet

4

Attorney Docket Number

U. S. PATENT DOCUMENTS						
Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant	
Initials*	No.1	Number-Kind Code <sup>2 (if known)</sup>	MM-DD-YYYY	Applicant of Cited Document	Figures Appear	
		US-5,612,570	03/18/1997	Eide, et al.		
		US-5,592,364	01/07/1997	Roane		
		US-5,572,065	11/05/1996	Burns		
		US-5,566,051	10/15/1996	Burns		
		US-5,561,591	10/01/1996	Burns		
		US-5,543,664	08/06/1996	Burns	·	
		US-5,541,812	07/30/1996	Burns		
		US-5,523,619	06/04/1996	McAllister, et al.		
		US-5,514,907	05/07/1996	Moshayedi		
		US-5,499,160	03/12/1996	Burns		
		US-5,493,476	02/20/1996	Burns		
		US-5,484,959	01/16/1996	Burns		
		US-5,479,318	12/26/1995	Burns		
		US-5,475,920	12/19/1995	Burns, et al.		
		US-5,455,740	10/03/1995	Burns		
		US-5,448,511	09/05/1995	Paurus, et al.		
		US-5,446,620	08/29/1995	Burns, et al.		
		US-5,428,190	06/27/1995	Stopperan		
		US-5,420,751	05/30/1995	Burns		
	1	US-5,402,006	03/28/1995	O'Donley		

Examiner Initials* Cite		Foreign Patent Document  Country Code <sup>3</sup> —Number <sup>4</sup> —Kind Code <sup>5 (if known)</sup>	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages	<b>⊤</b> 6
			MM-DD-YYYY	Applicant of Cited Document	or Relevant Figures Appear	Ĺ
						<u> </u>
						<u> </u>
						<u> </u>
						<u>Ļ</u>

Examiner Signature	Date Considered		

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

<sup>\*</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Cod USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). See Kinds Codes of Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

				Complete if Known				
Su	bstitute for form 14	49/PTO		Application Number	10/709,732			
18	Substitute for form 1449/PTO  INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)  Sheet 5 of 9	DISCLOSURE	Filing Date	May 25, 2004				
			First Named Inventor	Russell Rapport, et al.				
S			Art Unit	2811				
		Examiner Name	ТВА					
Sheet	5	of	9	Attorney Docket Number	254-094-CIP4-CMEM			

			U. S. PATE	NT DOCUMENTS		
Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevan	
Initials*	No.1	Number-Kind Code <sup>2 (if known)</sup>	MM-DD-YYYY	Applicant of Cited Document	Figures Appear	
		US-5,394,010	02/28/1995	Tazawa, et al.		
		US-5,377,077	12/27/1994	Burns		
		US-5,375,041	12/20/1994	McMahon		
		US-5,347,428	09/13/1994	Carson, et al.		
		US-5,343,075	08/30/1994	Nishino		
		US-5,313,097	05/17/1994	Haj-Ali-Ahmadi, et al.		
		US-5,311,401	05/10/1994	Gates, Jr. et al.		
		US-5,289,062	02/22/1994	Wyland		
		US-5,281,852	01/25/1994	Normington		
		US-5,279,029	01/18/1994	Burns		
		US-5,262,927	11/16/1993	Chia, et al.		
		US-5,261,068	11/09/1993	Gaskins, et al.		
		US-5,259,770	11/09/1993	Bates, et al.		
		US-5,247,423	09/21/1993	Lin, et al.		
	T -	US-5,241,454	08/31/1993	Ameen, et al.		
		US-5,239,198	08/24/1993	Lin et al.		
		US-5,224,023	06/29/1993	Smith, et al.		
		US-5,222,014	06/22/1993	Lin		
		US-5,198,888	03/30/1993	Sugano, et al.		
	1	US-5,159,434	10/27/1992	Kohno, et al.		

	FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite	Foreign Patent Document  Country Code <sup>3</sup> –Number <sup>4</sup> –Kind Code <sup>5 (if known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited	Pages, Columns, Lines, Where Relevant Passages	T <sup>6</sup>		
	No.1			Document	or Relevant Figures Appear			
						<u></u>		
						<u></u>		
· · · · · · · · · · · · · · · · · · ·								
						<u> </u>		
		,						

	 Date	
Examiner		
	Considered	
Signature		

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

<sup>\*</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not expended to the form with next communication to applicant. Applicant's unique citation designation number (optional). 2 See Kinds Codes of considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Under	r the Paperwork R	eduction A	ct of 1995, no persons are required to	o respond to a concentent of management	
		Con	nplete if Known		
Su				Application Number	10/709,732
	Substitute for form 1449/PTO  INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)  Sheet 6 of 9	DISCLOSURE	Filing Date	May 25, 2004	
			First Named Inventor	Russell Rapport, et al.	
S		BY APPLICANT	Art Unit	2811	
		ets as necessary)	Examiner Name	TBA	
Sheet	T <sub>6</sub>	of	9	Attorney Docket Number	254-094-CIP4-CMEM
Silect	(Use as many sheets as necessary)				

- 4			U.S. PATEI	NT DOCUMENTS	
Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant
Initials*	No.1	Number-Kind Code <sup>2 (if known)</sup>	MM-DD-YYYY	Applicant of Cited Document	Figures Appear
		US-5,138,430	08/11/1992	Gow, 3rd, et al.	
		US-5,117,282	05/26/1992	Salatino	·
		US-5,104,820	04/14/1992	Go, deceased, et al.	
		US-5,099,393	03/24/1992	Bentlage, et al.	
		US-5,041,015	08/20/1991	Travis	·
	T	US-5,034,350	07/23/1991	Marchisi	
		US-5,016,138	05/14/1991	Woodman	
		US-5,012,323	04/30/1991	Farnworth	
		US-4,985,703	01/15/1991	Kaneyama	
	1	US-4,983,533	01/08/1991	Go	
	<u> </u>	US-4,956,694	09/11/1990	Eide	
	1	US-4,953,060	08/28/1990	Lauffer, et al.	
		US-4,911,643	03/27/1990	Perry, et al.	
	1	US-4,891,789	01/02/1990	Quattrini, et al.	
	+	US-4,884,237	11/28/1989	Mueller, et al.	
	$\dagger$	US-4,862,249	08/29/1989	Carlson	
	<b>†</b>	US-4,833,568	05/23/1989	Berhold	
	<del>                                     </del>	US-4,823,234	04/18/1989	Konishi, et al.	
	†	US-4,821,007	04/11/1989	Fields, et al.	
	+	US-4,763,188	08/09/1988	Johnson	

Examiner Initials*	Cite	Publication Date	Name of Patentee or Applicant of Cited	Pages, Columns, Lines, Where Relevant Passages	т
	No.1		Document	or Relevant Figures Appear	
					_
					ᆫ
					L
		 			<u>L</u>
					L

Examiner	Date Considered	
Signature	Considered	

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing this form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

PTO/SB/08A (08-03)

<sup>\*</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

Sheet

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sporta to a concension or intermen	
Cor	nplete if Known
Application Number	10/709,732
Filing Date	May 25, 2004
First Named Inventor	Russell Rapport, et al.
Art Unit	2811
Examiner Name	TBA
Attorney Docket Number	254-094-CIP4-CMEM

			U. S. PATEI	NT DOCUMENTS		
Examiner	Cite	Document Number	Publication Date Name of Patentee or  MM-DD-YYYY Applicant of Cited Document		Pages, Columns, Lines, Where Relevant Passages or Relevan	
Initials*	No. <sup>1</sup>	Number-Kind Code <sup>2 (if known)</sup>	MIM-DD-TTTT		Figures Appear	
		US-4,733,461	03/29/1988	Nakano		
		US-4,696,525	09/29/1987	Coller, et al.		
		US-4,645,944	02/24/1987	Uya		
		US-4,513,368	04/23/1985	Houseman		
		US-4,437,235	03/20/1984	McIver		
		US-4,406,508	09/27/1983	Sadigh-Behzadi		
		US-4,398,235	08/09/1983	Lutz, et al.		
		US-4,288,841	09/08/1981	Gogal		
		US-4,103,318	07/25/1978	Schwede		
		US-3,772,776	11/20/1973	Weisenburger	· · · · · · · · · · · · · · · · · · ·	
		US-3,746,934	07/17/1973	Stein		
		US-3,727,064	04/10/1973	Bottini	· ·	
		US-3,654,394	04/04/1972	Gordon		
		US-3,436,604	04/01/1969	Hyltin		
		US-2004/0150107	08/05/2004	Cha, et al.		
		US 2004/0021211 A1	02/05/2004	Damberg		
	<b>_</b>	US 2003/0168725 A1	09/11/2003	Warner, et al.		
		US-2002/0180022 A1	12/05/2002	Emoto, Yoshiaki		
	1	US-2002/0164838 A1	11/07/2002	Moon, et al.		
		US-2002/0139577 A1	10/03/2002	Miller		
	1	US-2002/0101261 A1	08/01/2002	Karabatsos		

Examiner Initials*	Cite	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited	Pages, Columns, Lines, Where Relevant Passages	Τ'
	No.¹	Country Code <sup>3</sup> –Number <sup>4</sup> –Kind Code <sup>5 (if known)</sup>	MM-DD-YYYY	Document	or Relevant Figures Appear	<u>_</u>
						L
						L
						Ļ
				<del></del>		Ļ
						<u> </u>

Examiner Date Considered			
Signature	Examiner Signature	Date Considered	

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

<sup>\*</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Complete if Known Substitute for form 1449/PTO **Application Number** 10/709,732 Filing Date May 25, 2004 INFORMATION DISCLOSURE Russell Rapport, et al. First Named Inventor STATEMENT BY APPLICANT 2811 Art Unit (Use as many sheets as necessary) Examiner Name TBA 254-094-CIP4-CMEM

of

Sheet

8

9

Attorney Docket Number

		4 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	U. S. PATENT DOCUMENTS		
Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant
Initials*	No.1	Number-Kind Code <sup>2 (if known)</sup>	MM-DD-YYYY	Applicant of Cited Document	Figures Appear
		US-2002/0006032 A1	01/17/2002	Karabatsos	
		US-2001/0040793 A1	10/15/2001	Inaba	
		US-36,916	10/17/2000	Moshayedi	
		US-			·
		US-			
		US-	•		
		US-			
,		US-			
		US-			
		US-			
		US-			

Examiner	Cite	FOREIG Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited	Pages, Columns, Lines, Where Relevant Passages	Т
Initials*		Country Code <sup>3</sup> –Number <sup>4</sup> –Kind Code <sup>5 (if known)</sup>	wn) MM-DD-YYYY	Document	or Relevant Figures Appear	╙
						Ļ
						L
						<u> </u>
						Ļ
						L

		······································
Funcional	Date	
Examiner	Considered	
Signature	Considered	

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

<sup>\*</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

			Complete if Known			
,	Substitute for form 1449/PTO		Application Number	10/709,732		
	NEODMATION	DISCLOSURE	Filing Date	May 25, 2004		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)			First Named Inventor	Russell Rapport, et al.		
			Art Unit	2811		
			Examiner Name	ТВА		
Sheet	9 of	9	Attorney Docket Number	254-094-CIP4-CMEM		

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
		WILLIAM R. NEWBERRY, Design Techniques for Ball Grid Arrays, Xynetix Design Systems, Inc., Portland, Maine, Published on the Internet.	
		Tessera Technologies, Inc Semiconductor Intellectual Property, Chip Scale Packaging - Website pages (3), Published on Internet.	
		Tessera Introduces uZ ä - Ball Stacked Memory Package for Computing and Portable Electronic Products, OYCE SMARAGDIS, Tessera Public Relations, SANDY SKEES, MCA PR (www.tessera.com/news_events/press_coverage.cfm); 2 figures that purport to be directed to the uZ ä - Ball Stacked Memory Package. Published 7/17/2002, San Jose, CA.	
		RON BAUER, Intel. "Stacked-CSP Delivers Flexibility, Reliability, and Space-Saving Capabilities", Spring 2002, Published on the Internet.	
		Tessera uZ Ball Stack Package. 4 figures that purport to be directed to the uZ - Ball Stacked Memory, Published on the Internet.	
		Chip Scale Review Online - An Independent Journal Dedicated to the Advancement of Chip-Scale Electronics. (Webiste 9 pages) FJELSTAD, JOSEPH, Pacific Consultants L.LC., Published Jan 2001 on Internet.	
		Flexible Thinking: Examining the Flexible Circuit Tapes. (Website 2 pages) FJELSTAD, JOSEPH., Published 4/20/2000 on Internet.	
		Die Products: Ideal IC Packaging for Demanding Applications - Advanced packaging that's no bigger than the die itself brings together high performance and high reliability with small size and low cost. (Website 3 pages with 2 figures) LARRY GILG AND CHRIS WINDSOR. December 23, 2002. Published on Internet.	

Examiner Signature Date Considered			
	Examiner Signature		

<sup>\*</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.